Boundary Layer Scheme Development for the UFS

Joseph Olson¹, Wayne Angevine^{2,3}, Jaymes Kenyon^{1,2}, Dave Turner¹, John Brown¹, Siwei He^{1,2}, and Franciano Puhales⁴

¹ NOAA/Global Systems Laboratory
 ² Cooperative Institute for Research in Environmental Sciences

 ³ NOAA/Chemical Systems Laboratory
 ⁴ Universidade Federal de Santa Maria, Santa Maria, Brazil

Outline

- Questions Regarding an Optimal PBL Scheme Design
 - Brief Overview of MYNN-EDMF
- Recent Development Activities
 - Removal of numerical pathologies
 - Improving Clouds:
 - Addition of a high-order moment cloud PDF
 - Accelerating plume modification
- Future Work
- Summary

Main points

Performance of the MYNN-EDMF is good, but the development process matters as much or more

Our development process emphasizes performance in specific regimes identified as critical:

- Deep convection (severe weather)
- Shallow cumulus (renewable energy and air quality)
- Stable boundary layer (low temperatures, moisture transport by low-level jets)
- Marine stratocumulus (medium-range forecasting up to climate)
- Testing at all scales (global, regional, SCM)

We demonstrate deriving SCM forcing from operational RAP analyses, making additional cases easy to test once identified

We can run LES to compare variables not easily derived from limited observations

Questions for Optimal Scheme Design

- What is the most suitable design/framework to address the full set boundary-layer-related forecast challenges?
 - Which framework is the optimal "engine under the hood": TKE-L, TTE, or TKE- ε , other?
 - Scientific optimality may be unknowable; Engineering maturity and flexibility are important
- What is the best approach to represent non-local mixing?
 - Mass-flux scheme or a higher-order closure (HOC; prognose more moments)?
 - $\circ~$ Are these options incompatible with each other?
- Should shallow cumulus be embedded within the boundary-layer scheme or separate?
 - Eliminate all possible duplicate processes, arbitrary partitioning of processes, and streamlining for efficiency.
 - Separate schemes can be designed to limit duplicate processes and still be highly integrated.

Status: open question

Status: open, but progress has been made

Status: probably can go either way if both bullets are satisfied

Using both H.O.C and Mass Flux

- While most operational forecast centers have employed EDMF schemes, the higherorder closure scheme Cloud Layers Unified By Binomials (CLUBB) scheme has shown benchmark-level success in the representation of stratocumulus
 - $\circ~$ Attributable to the use of high-order moments in cloud PDFs ~
- The primary limitation of CLUBB is computational expense
 - Not a limitation for mass-flux schemes (EDMF approach)
- Recent results from Mikael Witte et al. (JPL) show that shallow cumulus clouds in CLUBB can be improved with the addition of a mass-flux scheme
 - Better shallow cumulus depth, mixing ratio, and variability
- A data point to suggest the combination of the use of high-order moments with a mass-flux scheme may be the most computationally efficient approach to get both stratocumulus and shallow cumulus clouds well represented



Adapted from Witte et al. (2021) - Improvement and Calibration of Clouds in Models, Toulouse, France

A very brief overview of the MYNN-EDMF

Mellor-Yamada-Nakanishi-Niino Eddy Diffusivity-Mass Flux (MYNN-EDMF) Turbulence Scheme

- Has been used in NOAA's operational RAP and HRRR forecast systems since 2014
- The main features include:
- Eddy Diffusivity-Mass Flux (EDMF) scheme:

$$\overline{w'\phi} = -\frac{K}{\partial \overline{\phi}} + M(\phi_u - \overline{\phi})$$

- Eddy Diffusivity: turbulent kinetic energy (TKE)-based with option to run at level 2.5, 2.6, or 3.0 closure
- Mass Flux: dynamic spectral multi-plume model (Neggers 2015, JAMES)
- Moist-turbulent mixing scheme:
 - Moist conserved variables $\theta_{li} [= \theta (\theta/T)(L_v/c_p)q_l (\theta/T)(L_f/c_p)q_i]$ and $q_w (= q_v + q_l + q_i)$, are used as thermodynamic variables
 - Uses cloud PDFs to represent both stratus and convective subgrid-scale (SGS) clouds, their impact on turbulent mixing, and the SGS clouds are coupled to the radiation scheme
- Other distinguishing aspects:
 - Originally and continually tuned to a wide variety of LES simulations
 - The critical Richardson number for momentum has been removed, similar to TTE schemes
 - Mass-flux scheme is designed to parameterize all non-local mixing (dry and cloudy) in all environments and represent the impacts of shallow cumulus (cloud production, turbulent transport, and subsidence)

MYNN-EDMF: Dynamic Spectral Multi-Plume Model

A spectral plume model is used to explicitly represent all plume sizes that are likely to exist in a given atmospheric state, following Neggers (2015, JAMES) and Suselj et al. (2013, JAS).

- Total maximum number of plumes possible in a single column: 10
- Diameters (ℓ): 100, 200, 300, 400, 500, 600, 700, 800, 900, and 1000 m
- Max plume size is MIN(PBLH, cloud ceiling, Δx)
- Plumes are only active when:
 - \circ Superadiabatic in lowest 50 m
 - Positive surface heat flux
- Plumes condense only if they surpass the lifting condensation level (LCL)



Model grid column

More info: Olson, Joseph B., Jaymes S. Kenyon, Wayne M. Angevine, John M. Brown, Mariusz Pagowski, and Kay Sušelj, 2019: A Description of the MYNN-EDMF Scheme and the Coupling to Other Components in WRF–ARW. NOAA Technical Memorandum OAR GSD, 61, pp. 37, <u>https://doi.org/10.25923/n9wm-be49</u>.

MYNN-EDMF: Individual Plume Integration

The vertical integration of each plume is performed with an entraining bulk plume model for the variables $\phi = \{\theta_{li}, q_t, u, v, and TKE\}$ using a simple entraining rising parcel:

$$\frac{\partial \phi_{u_i}}{\partial z} = -\varepsilon_i (\phi_{u_i} - \phi)$$

where ε_i is the fractional entrainment rate, which regulates the lateral mixing of the updraft properties, ϕ_{ui} , with the surrounding air, ϕ . The vertical velocity equation uses a form from Simpson and Wiggert (1969), with the buoyancy $B = g(\theta_{v,ui} - \theta_v)/\theta_v$ as a source term:

$$w_{u_i}\frac{\partial w_{u_i}}{\partial z} = -\varepsilon_i a w_{u_i}^2 - bB$$

The only distinguishing aspect to each plume is the entrainment rate ε_i , which is taken from Tian and Kuang (2016):

$$\varepsilon_i = \frac{c_{\varepsilon}}{w_i l_i}$$
 Note: This form can produce a positive feedback

Where I_i is the plume diameter, and C_{ε} = 0.33.



Adapted from Neggers (2015, JAMES)

Example of Dynamic Spectral Mass-Flux Scheme

HRRR 18-hour forecast Valid times: 12 UTC 24 June – 03 UTC 25 June 2020



Example Comparison of SW-up at Top of Atmosphere

21 UTC 24 June 2020

Forecast hour 12, Initialized 09 UTC 24 June 2020

GOES-16 combined (ch1, 2, 3) visible albedo

GOES-16 Satellite

Both Stratus +

Mass-Flux

active

Components







Recent Development Activities: Removing Numerical Pathologies in the MYNN-EDMF

Stress Test: Hurricane SCM case

- No diurnal cycle
- Over land
- 60 m s⁻¹ wind speeds
- Moderate/Low background moisture
- Clouds develop after hour 4

Configuration:

- Dx=2 km, dt=15 sec
- MYNN-EDMF
- MYNN surface layer scheme



Stress Test: Hurricane SCM case

- TKE-based PBLH develops quickly and extends up to ~5 km
- MYNN SGS clouds do not initiate earlier, but thicken the clouds after hr 12
- SGS cloud mixing ratios tend to be similar to or slightly larger than the resolved-scale mixing ratios



The Problem: Noisy Eddy Viscosity Profiles

Eddy Viscosity (m²s⁻¹)





The Cause:

The Mellor-Yamada framework uses different stability functions for each closure level, making use of prognostic variables at each level.

The use of two different forms of stability functions in growing $(q_{2.5}/q_{eq} < 1)$ and decaying $(q_{2.5}/q_{eq} > 1)$ turbulence regimes, where $q_{2.5}$ is the prognosed TKE and q_{eq} is level 2.0 "equilibrium" TKE.

Note: Even when running at level 2.5, the level 2.0 stability functions were used in the growing turbulence regime.

Possible Fixes:

- 1) Use only the level 2.5 forms of S_M and S_H for all regimes.
- 2) Use only the level 2.0 forms of S_M and S_H for all regimes.

Using only the level 2.5 stability functions

Note: It was necessary to add additional constraints from Helfand and Labraga (1988) to achieve a computationally stable version.

- This suggests that the original coding was intended as so.
- Consequence: when the limits are hit for either (or both) S_M and S_H , the Prandtl number (Pr = $K_M/K_H = S_M/S_H$) can be regulated by the limits.

Hr 18 (mostly equilibrated)





Regionally Averaged Temperature Error Profiles



Zonal Temperature Diffs (against GFS analyses)

Control

Updated MYNN



Regionally Averaged Wind Error Profiles



Zonal Mean Wind Speed Differences (against GFS Analysis)



Updated MYNN

Results from a RRFS Retro (4 -11 Sept 2020):

CONUS composite stats against radiosonde



More Results from a RRFS Retro (4 -11 Sept 2020):

CONUS composite stats against radiosonde



More Results from a RRFS Retro (4 -11 Sept 2020):

CONUS composite stats against radiosonde





NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

Radiational Cooling Case

08 November 2019 valid 12Z 08 November 2019 (F12



nit. 00Z 08 November 2019 valid 12Z 08 November 2019 (F12)



Valid: 12Z 8 November 2020

Relaxed pressure gradient across much of Midwest, Plains, and western U.S., implying very light winds and likely overnight decoupling

Performed by

Alexei Belochitski

- 10m wind field (lower right) and cloud fields (not shown) indicate ideal conditions for radiation inversions and strong cooling over much of the U.S.
- Visually comparing analysis (lower right) to GFSv16 (upper right) clearly shows it's too warm over much of the Midwest, Northern Plains, and the Intermountain West







NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

GFSv16 Temperatures

Uı.



Denver, CO DNR

Init: 00Z 8 November 2019 Valid: 12Z 8 November 2019 (F012)

Performed by

Alexei Belochitski

NOAA

- GFSv16 failed to capture the strength of the low-level inversion and ends up way too warm at the lowest levels
- GFS-MYNN improves the surface temperature and dewpoint, and is warmer than GFS at the inversion top





NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

SLC Soundings

E



Salt Lake City, UT SLC

ATMOS

Init: 00Z 8 November 2019 Valid: 12Z 8 November 2019 (F012)

- GFSv16 fails to capture the strength of the low-level inversion and ends up ~5 C too warm at the lowest levels
- GFS-MYNN is colder and moister, but is slightly too cold at the surface.





Simulations performed by Alexei Belochitski

SCM SGP LLJ case

Setup:

- CCPP SCM
- Model top=100 mb
- 51 levels
- timestep: 60 sec

Results:

- Increased jet max
- Jet is still weaker and less sharp than in lidar observations
- Still investigating forcing/vertical resolution





Summary for Work on Numerical Pathologies

- Numerical pathology was diagnosed stability functions were the cause

 Switching to the level 2.5 stability functions provides some improvements
 Concerned about hitting limits impacting the Prandtl number (Pr = Sm/Sh)
 Further investigation is required
- Impacts seem to improve the wind and temperature profiles

 Largest improvements are in the upper troposphere
 Concerned about a negative wind speed bias at low-levels
- Eddy-diffusivity-specific regime testing:
 - Updated stability functions do not adversely impact successful radiational cooling stable-layer cases
 - $\circ~$ Low-level jet SCM case was improved

Improving Clouds

Comparison of SW-up at TOA

Valid: 16 UTC 12 June 2019

Initialized 06 UTC 11 June (Forecast hour 34) GOES-16 combined (ch1, 2, 3) visible albedo

16:03:06 12 Jun 2019





Despite improvements, there are still large biases



Comparisons were made to GML's 14 SurfRad/ SolRad sites across the CONUS

Aspects to revise/investigate:

- 1) Cloud depth
- 2) Cloud cover
- 3) Mixing ratios (qc, qi, qs, etc)
- 4) Liquid/Ice/Snow water path
- 5) Hydrometeor effective radii
- 6) Cloud overlap
- 7) Diurnal cycle of shallow cumulus, etc...

Recent Development Activities: Higher-Order Moment Cloud PDF for Stratus Clouds

Chaboureau-Bechtold Stratiform Cloud Fraction:

First-Order Form

Higher-Order Form

The subgrid variability of the saturation deficit, s, is expressed in terms of the total water, q_w , and liquid water temperature:

$$\sigma_{s} = c_{\sigma} l \left(\bar{a}^{2} \left(\frac{\partial \overline{q_{w}}}{\partial z} \right) - 2 \bar{a} \bar{b} C_{pm}^{-1} \frac{\partial \overline{T_{l}}}{\partial z} \frac{\partial \overline{q_{w}}}{\partial z} + \bar{b}^{2} C_{pm}^{-2} \left(\frac{\partial \overline{T_{l}}}{\partial z} \right)^{2} \right)^{1}$$

Where c_{σ} is a tuning constant, l is the mixing length, and a and b are thermodynamic functions arising from the linearization of the function for the water vapor saturation mixing ratio.

$$\bar{a} = \left(1 + L \frac{\frac{\partial q_{sat}(T_l)}{\partial T}}{\frac{\partial T}{C_{pm}}}\right)^{-1} \qquad \bar{b} = \bar{a} \frac{\partial q_{sat}(T_l)}{\frac{\partial T}{\partial T}}$$

Normalized saturation deficit: $Q_1 = \overline{a}(\overline{q_w} - q_{sat}(\overline{T_l}))/\sigma_s$

Cloud fraction: $cf = MAX\{0, MIN[1, 0.5 + 0.36ATAN(1.55Q_1)]\}$

 $cf = cf \times m$

 $m = 1 + (MAX(RH-RH_c, 0)/(RH_{ss}-RH_c))^{1.9}$, where RH is the relative humidity, $RH_c = 0.75$ and $RH_{ss} = 1.01$

The subgrid variability of the saturation deficit, *s*, is expressed solely as the square root of the total water variance, q'^2 :

$$\sigma_s = \left[\overline{q'^2}\right]^{1/2},$$

And then the normalized saturation deficit is specified as:

$$Q_1 = (\overline{q_w} - q_{sat}(\overline{T_l})) / \sigma_s$$

Then, the same cloud fraction function is used as in the firstorder form.



Introducing a Level 2.6 configuration

- Prognoses TKE and q² (instead of just TKE)
- Currently, q² is not advected
 - Makes the increased computational cost very small
- Introduce a new *bl_mynn_closure* namelist variable:

	(level 2.5,	$bl_mynn_closure \le 2.5$	(TKE)
Closure = <	level 2.6,	2.5 < bl_mynn_closure < 3.0	(TKE and q^{2})
	level 3.0,	$3.0 \leq bl_mynn_closure$	(TKE, q ² , θ q ⁷ , and θ ²)

 Note: the higher-order (q²) cloud PDF can be used with any closure level, but will use the diagnostic form of q² when <u>bl_mynn_closure</u> = 2.5

Impact on Diagnosed Cloud Water at 500 m



Impact on Diagnosed Cloud Ice at 300 mb





Upward SW Radiation at TOA 20 Oct – 29 Dec, Init every 5 days, Averaging day 6 fcsts





Upward LW Radiation at TOA 20 Oct – 29 Dec, Init every 5 days, Averaging day 6 fcsts



Improvements from Single-Column Modeling

SCM work in WRF and CCPP has shown:

- Good performance for LASSO shallow cumulus cases
- Improved tuning of mass flux component
- Need for more careful consideration of scaleaware features
- Improved understanding of how to specify forcing and interpret SCM results
- Using many cases avoids over-fitting
- \circ Easy testing of different vertical grids
- Built capacity and collaboration among GSL and CSL groups

Publications:

Angevine et al. 2018, Shallow Cumulus in WRF Parameterizations Evaluated against LASSO Large-Eddy Simulations. *Monthly Weather Review*, vol. 146, pp. 4303-4322.

Angevine et al. 2020, Scale Awareness, Resolved Circulations, and Practical Limits in the MYNN–EDMF Boundary Layer and Shallow Cumulus Scheme. *Monthly Weather Review*, vol. 148, pp. 4629-4639.

Accelerating Plume Modification



The only distinguishing aspect to each plume is the entrainment rate ε_i , which is taken from Tian and Kuang (2016):

$$\varepsilon_i = \frac{c_{\varepsilon}}{w_i l_i}$$

Where I_i is the plume diameter, and $C_{\varepsilon} = 0.33$.

For large plume sizes, this form can produce a positive feedback between large w_i and ε_i , causing shallow cumulus to become too deep.

This modification increases the entrainment in accelerating plumes above the cloud base.

Hereafter, this is will be referred to as the ACP mod.

Adapted from Neggers (2015, JAMES)

Example of improvement with the ACP mod



LES Cloud Base

SCM Cloud base

- Accelerating plume modification drastically improves LWP and cloud depth evolution (note different vertical axis scales)
 - Smoother profiles with ACP

Testing the updated code in both WRF and CCPP

Ten "good" LASSO cases from 2018 and 2019:

- All SCM cases created from RAP analyses
- WRF and CCPP results are fairly similar
- Caveats: Vertical grids are not identical
- Two cases have far too much cloud (0712 and 1002)
- Accelerating plume mod ("acp") improves LWP and SWD (see later)



Nice, but we need to do better...





Ongoing/Future Work: Cloud Regime Diagnostic

21 UTC 24 June 2020

GOES-16 combined (ch1, 2, 3) visible albedo





HRRR

Init: 2020-06-24_09:00:00 Valid: 2020-06-24_21:00:00



Cloud Regime

Valid: 2020-06-24_21:00:00



- Better characterize our errors in each regime
- Link the errors to dominant processes in each regime
- Refine the cloud macro- and microphysics in each regime, i.e., cloud fraction, mixing ratios, effective radii, overlap, etc

HRRR

GOES-16 Satellite

Summary

- Development of the MYNN-EDMF has been equally focused on turbulence and cloud-radiative processes, exploiting both mass-flux and HOC.
- Testing in a hierarchy of models: global, regional, and SCM. Using LES as much as observations.
- Numerical pathologies associated with the stability functions have been alleviated
 - Overall improvements were found in bulk global and regional retrospective tests as well as 3D and SCM case studies
- Excessive cloudiness in the shallow-cumulus regime was caused by a positive feedback between the plume entrainment and vertical velocity
 - Modified entrainment in accelerating moist plumes addresses this problem
 - Improvements in LWP and SW-down were demonstrated
- Future work is planned to better sort the radiation errors by cloud regime and investigate the cloud-overlap in shallow-cumulus regimes

Extra slides

TKE Budget Fixes



0.5

0.06 円 🖓

Example Comparison of SW-up at Top of Atmosphere

HRRR

21 UTC 24 June 2020

GOES-16 combined (ch1, 2, 3) visible albedo

20:52:35 24 Jun 2020

Upward SW at TOA (W m⁻²) HRRR Init: 2020-06-24 09:00:00 Init: 2020-06-24_09:00:00 Valid: 2020-06-24_21:00:00 Valid: 2020-06-24 21:00:00 Upward SW at TOA (W m⁻²)

No SGS Clouds – all clouds are from the Thompson microphysics scheme

Stratus component only

HRRR

0

GOES-16

Satellite





Forecast hour 12, Initialized 09 UTC 24 June 2020

Init: 2020-06-24_09:00:00

Valid: 2020-06-24_21:00:00

Both Stratus + Mass-Flux components

Mapping the contribution of each plume to the total fractional area



Chaboureau and Bechtold Subgrid Cloud Fraction: Stratus & Convective components

Stratus Component

Convective Component

The subgrid variability of the saturation deficit, s, is expressed in terms of the total water and liquid water temperature:

$$\sigma_{s-strat} = c_{\sigma} l \left(\bar{a}^{2} \left(\frac{\partial \overline{r_{w}}}{\partial z} \right) - 2 \bar{a} \bar{b} C_{pm}^{-1} \frac{\partial \overline{h_{l}}}{\partial z} \frac{\partial \overline{r_{w}}}{\partial z} + \bar{b}^{2} C_{pm}^{-2} \left(\frac{\partial \overline{h_{l}}}{\partial z} \right)^{2} \right)^{1/2}$$

Where c_{σ} is a tuning constant, l is the mixing length, and a and b are thermodynamic functions arising from the linearization of the function for the water vapor saturation mixing ratio.

The subgrid variability of the saturation deficit is proportional to the mass-flux, *M*:

$$\sigma_{s-conv} \approx M \frac{(s^c - s^e)}{w_* \rho_*} \approx \alpha M f(z/z^*)$$

Where α is a constant of proportionality (\approx 6E-3) and f is a vertical scaling function, set to f= \overline{a}^{-1} .

Combined saturation deficit variance
$$\sigma_{s-conv} = \sqrt{\sigma_{s-strat}^2 + \sigma_{s-conv}^2}$$

 $\bar{a} = \left(1 + L \frac{\partial r_{sat}(T_l)}{\partial T} \middle/ C_{pm} \right)^{-1}$ $\bar{b} = \bar{a} \frac{\partial r_{sat}(T_l)}{\partial T}$ $c_{pm} \int^{-1} c_{pm} \int^{-1} c$

 $m = 1 + (MAX(RH-RH_c, 0)/(RH_{ss}-RH_c))^{1.9}$, where RH is the relative humidity, $RH_c = 0.75$ and $RH_{ss} = 1.01$